

Coordination of Cu⁺ Ions to Zeolite Frameworks Strongly Enhances Their Ability To Bind NO₂. An ab Initio Density Functional Study

Luis Rodriguez-Santiago,[†] Marek Sierka,[‡] Vicenç Branchadell,[†] Mariona Sodupe,[†] and Joachim Sauer^{*,‡}

Contribution from the Departament de Química, Universitat Autònoma de Barcelona, Edifici Cn, 08193 Bellaterra, Spain, and Max-Planck-Gesellschaft, Arbeitsgruppe Quantenchemie an der Humboldt-Universität, D-10117 Berlin, Germany

Received September 11, 1997. Revised Manuscript Received December 5, 1997

Abstract: Comparison is made of the interaction of NO₂ with Cu⁺ ions in the gas phase and inside zeolites using density functional theory (B3LYP functional). The zeolite is represented by a tritrahedra model embedded in the periodic structure of zeolite ZSM-5 and by a free space cluster model. Both models yield virtually the same results. Cu⁺ is coordinated to two oxygen atoms of the zeolite framework only. For the complexes with NO₂, several minima and transition structures on the potential energy surfaces are localized. The naked Cu⁺ ion preferentially binds NO₂ in the η¹-O trans mode, while in zeolites the Cu⁺ site binds NO₂ in a 2η-O₂O coordination. For the 2η-O₂O structure the binding is three to four times stronger in the zeolite (43 kcal/mol) than in the gas phase which is due to a three-body zeolite framework–Cu⁺ ion–NO₂ interaction. d¹⁰–s¹d⁹ promotion leads to a more favorable orbital interaction between Cu⁺ and NO₂ in the 2A'' state and, due to reduced repulsion, to a stronger electrostatic interaction between Cu⁺ and the zeolite framework.

1. Introduction

Transition metal cations show catalytic activity in different systems ranging from heterogeneous over homogeneous to biological. In recent years, it became possible to study the reactivity of naked (noncoordinated) transition metal cations.¹ Despite a fascinating chemistry revealed by these studies, catalytic activity could be observed in exceptional cases only, see, e.g., refs 2 and 3. An example is the role that Cu⁺ ions play in the catalytic decomposition of NO_x—a mixture of NO and NO₂ of obvious environmental significance. Cu-exchanged zeolites, in particular Cu–ZSM-5, show a high and sustained activity.⁴ Many studies reached the conclusion that the Cu⁺ ion is in the core of the active sites of these catalysts.^{5–8} In contrast, Schwarz and co-workers did not find any catalytic activity when investigating the [Cu⁺, N, O] system in the gas phase.⁹ This highlights the important role that ligands play in activating transition metal cations.

Some activation of Cu⁺ and other transition metal ions by ligands has been observed before. The second water ligand was

found to bind more strongly than the first one,¹⁰ in contrast to alkaline metal ions, for which the energies for adding the next ligand are steadily decreasing when adding an increasing number of ligands. Confirmation came from ab initio calculations^{11–13} which also provided the explanation:¹² “The unique feature of transition metal ions is their ability to reduce metal–ligand repulsion by sdσ hybridization. Since sdσ hybridization reduces the charge density along the σ axis, the second ligand binding energy can be larger than the first, because both ligands benefit from reduced repulsion while sharing the energetic cost of hybridization.” We will show below that the much stronger activation of Cu⁺ ions by zeolite frameworks has a similar origin.

We study the interaction of NO₂ with Cu⁺ ions in the gas phase and in zeolites. We localize several stationary points on the potential energy surfaces by ab initio methods employing density functional theory (DFT). We find a dramatic increase of the binding energy of NO₂ on the Cu⁺ ion inside the zeolite compared with the naked Cu⁺ ion. For a realistic description of this effect it is vital to have a valid model of the active site. In agreement with previous theoretical studies^{14,15} we find that the Cu⁺ ion is coordinated to two lattice oxygen atoms of the zeolite framework only, in contrast to the Cu²⁺ ion which prefers a higher coordination. Two is an unusually low coordination number for Cu⁺, but it is confirmed by EXAFS studies on activated Cu-exchanged zeolites.^{16,17} Our description includes

* Corresponding author: Humboldt Universität, Arbeitsgruppe Quantenchemie, Sitz: Jägerstrasse 10-11, D-10117 Berlin. E-mail: js@qc.ag-berlin.mpg.de.

[†] Universitat Autònoma de Barcelona.

[‡] Humboldt-Universität.

(1) Eller, K.; Schwarz, H. *J. Chem. Rev. (Washington, D.C.)* **1991**, *91*, 1121.

(2) Wesendrup, R.; Schröder, D.; Schwarz, H. *Angew. Chem., Int. Ed. Engl.* **1994**, *33*, 1174.

(3) Pavlov, M.; Blomberg, M. R. A.; Siegbahn, P. E. M.; Wesendrup, R.; Heinemann, C.; Schwarz, H. *J. Phys. Chem. A* **1997**, *101*, 1567.

(4) Shelef, M. *Chem. Rev. (Washington, D.C.)* **1995**, *95*, 209.

(5) Iwamoto, M.; Yahiro, H.; Tanda, K.; Mizuno, N.; Mine, Y.; Kagawa, S. *J. Phys. Chem.* **1991**, *95*, 3727.

(6) Li, Y.; Hall, W. K. *J. Catal.* **1991**, *129*, 202.

(7) Spoto, G.; Zecchina, A.; Bordiga, S.; Ricchiardi, G.; Martra, G.; Leofanti, G.; Petrini, G. *Appl. Catal. B: Environmental* **1994**, *3*, 151.

(8) Wichterlová, B.; Dědeček, J.; Sobalík, Z.; Vondrová, A.; Klier, K. *J. Catal.* **1997**, *169*, 194.

(9) Stülzle, D.; Schwarz, H.; Moock, K. H.; Terlouw, J. K. *Int. J. Mass Spectrom. Ion Proc.* **1991**, *108*, 269.

(10) Magnera, T. F.; David, D. E.; Stuhlik, D.; Orth, R. G.; Jonkman, H. T.; J. Michl *J. Am. Chem. Soc.* **1989**, *111*, 5036.

(11) Bauschlicher, C. W. J.; Langhoff, S. R.; Partridge, H. In *Organometallic Ion Chemistry*; Freiser, B. S., Ed.; Kluwer Academic Press: 1996; p 47.

(12) Bauschlicher, C. W. J.; Langhoff, S. R.; Partridge, H. *J. Chem. Phys.* **1991**, *94*, 2068.

(13) Rosi, M.; Bauschlicher, C. W. *J. Chem. Phys.* **1989**, *90*, 7264.

(14) Trout, B. L.; Chakraborty, A. K.; Bell, A. T. *J. Phys. Chem.* **1996**, *100*, 17582.

(15) Blint, R. J. *J. Phys. Chem.* **1996**, *100*, 19518.

part of their comprehensive analysis of possible intermediates in the NO_x decomposition process, Trout et al. study several isomers of the complex of NO₂ with a model of the Cu⁺ ion in ZSM-5.¹⁴ Their and our results for the structures and binding energies are remarkably similar, although there are some differences in the method used and the model adopted. Trout et al. use the local density approximation (LDA) and a different basis set. Their cluster model has the central AlO₄ tetrahedron surrounded by four SiO₄ tetrahedra, and the terminating OH groups are fixed at observed atomic positions.

2. Details of Calculations

DFT (density functional theory) calculations have been performed using the B3LYP functional which proved successful for a broad class of problems including transition metals^{3,33–35} and which is a major improvement compared to LDA. The following basis sets optimized by Ahlrichs and co-workers have been adopted:³⁶ Cu – double- ζ augmented with a p function (exponent 0.155 065), i.e., [8s,6p,3d]; NO₂ – triple- ζ ; zeolite models – triple- ζ on oxygen and double- ζ on all other atoms. Polarization functions with exponents 0.35, 0.30, 1.2, 1.0, and 0.8 are added to all Si, Al, O, N, and H atoms, respectively. DFT calculations are made using the TURBODFT code.³⁷ The coupled cluster CCSD(T) calculations follow in all details the description in ref 35 and use the GAUSSIAN code.³⁸

Our calculations on the Cu⁺(H₂O) and Cu⁺(H₂O)₂ complexes yield 43.8 and 44.6 kcal/mol for the binding of the first and second water ligand, respectively—slightly larger values than computed with the MCPF method by Bauschlicher et al. (40.5 and 41.3 kcal/mol)¹² or than inferred from experiments by Mangera et al. (35 ± 3 and 39 ± 3 kcal/mol).¹⁰ We correctly reproduce the increased binding energy for the second ligand.

For describing the periodic zeolite structure both in the combined QM-pot approach and in the pure potential function energy minimizations the shell-model ion pair potential³⁹ is used. The combined QM-pot calculations make use of the TURBODFT³⁷ and the GULP⁴⁰ codes in the quantum and the shell-model ion pair potential part, respectively. The parameters for the ions of the anionic zeolite framework have been found by a fit to DFT data obtained with the same basis set as used here.⁴¹ The parameters for Cu⁺ are taken from ref 42. The parameters used are summarized in the Supporting Information.

3. Results and Discussion

3.1. Structure of the Cu⁺ Site. First we performed a lattice energy minimization using the shell-model ion pair potential alone to determine the structure of the Cu⁺ site in a periodic ZSM-5 environment. One Al atom per unit cell was substituted

into the T12 position of the orthorhombic structure of MFI (96 TO₂ formula units, hence the Si/Al ratio is 95).⁴³ This position was also considered before,¹⁴ and there is no reason to believe that the results will critically depend on the substitution site. It was found that the relative energies differ by no more than 5 kcal/mol when substituting Al in any of the 24 different sites. The T12 site was found only about 2.5 kcal/mol above the minimum energy substitution site. The lattice energy minimization was made in P1 symmetry and resulted in a monoclinic structure. The Cu⁺ ion is found coordinated to two lattice oxygen atoms only. Even if the optimization was started with structures in which the Cu⁺ ion was inside a five-membered ring the same 2-fold coordinated structure was found. Our observations are slightly at variance with the report of Sayle et al.⁴⁴ A more comprehensive simulation of a large variety of different Cu sites in ZSM-5 by a shell-model potential with parameters slightly different from ours also yielded dicoordinated Cu⁺ sites, but the majority of Cu⁺ sites had a larger coordination number. Note that the simulations of Sayle et al. also allowed OH⁻ species to coordinate to the Cu⁺ ion.

Next we defined a tritetrahedra cluster (T3), [(HO)₃SiO-Al(OH)₂OSi(OH)₃]⁻, around the Cu⁺ site (Figure 1a), and a structure refinement was made using the combined QM-pot approach. The 2-fold coordination remained unchanged, and the Cu⁺···Al and Cu⁺···O distances changed to 2.8 and 2.05 Å, respectively. Trout et al. also found a 2-fold coordinated structure with slightly shorter bond distances. The Al···O distance was 2.4 Å, and two slightly different Cu⁺···O bond distances of 1.95 and 1.86 Å were obtained. Hartree–Fock calculations on cluster models¹⁵ also yield a 2-fold coordination of Cu⁺ with Cu⁺···O distances of 2.10 and 2.16 Å. These results are in agreement with the experimental structure information available. From ²⁷Al–⁶⁵Cu SEDOR NMR experiments on Cu–ZSM5 a Cu–Al distance of 2.3 ± 0.2 Å has been inferred.⁴⁵ The EXAFS experiments of Yamashita et al.¹⁷ and Lamberti et al.¹⁶ yield Cu–O distances of 1.94 and 2.00 Å, respectively. Other EXAFS and XANES data imply a coordination number of 2.1 and a mean Cu–O distance of 1.94 Å.^{46,47}

Figure 1b shows the structure of the CuZ site obtained by DFT calculations when the zeolite is represented by the shell-1.5 model. The binding energy of Cu⁺ to the shell-1.5 model is 160 kcal/mol. This model is OH terminated at the central Al atom, but H-terminated at the Si atoms. The tri-tetrahedra model adopted in the embedded cluster calculation is OH terminated on both the Al and the Si atoms. There is good agreement between this free cluster optimization and the embedded cluster calculations. Embedding has two effects. It constrains the relaxation of the cluster atoms (since they “feel” that they are part of the zeolite framework) and it adds long-range interactions. Comparison of parts a and b of Figure 1 shows that this has little effect on the coordination type and on the strength of the interaction. The Cu⁺···O distances shrink by 2–3% only. We conclude that the shell-1.5 model without embedding provides a reasonable first information on the effect of coordinating the Cu⁺ ion to the MFI framework.

(33) Bauschlicher, C. W.; Ricca, A.; Partridge, H.; Langhoff, S. R. In *Recent Advances in Density Functional Theory, Part II*; Chong, D. P., Ed.; World Scientific Publishing Company: Singapore, 1997.

(34) Holthausen, M. C.; Heineman, C.; Corneli, H. H.; Koch, W.; Schwarz, H. *J. Chem. Phys.* **1995**, *102*, 4931.

(35) Rodriguez-Santiago, L.; Sodupe, M.; Branchadell, V. *J. Chem. Phys.* **1996**, *105*, 9966.

(36) Schäfer, A.; Horn, H.; Ahlrichs, R. *J. Chem. Phys.* **1992**, *97*, 2571.

(37) Treutler, O.; Ahlrichs, R. *J. Chem. Phys.* **1995**, *102*, 346.

(38) Head-Gordon, M.; Gill, P. M. W.; Wong, M. W.; Foresman, J. B.; Johnson, B. G.; Schlegel, H. B.; Robb, M. A.; Replogle, E. S.; Gomperts, R.; Andres, J. L.; Raghavachari, K.; Binkley, J. S.; Gonzalez, C.; Martin, R. L.; Fox, D. J.; Defrees, D. J.; Baker, J.; Stewart, J. J. P.; Pople, J. A. *GAUSSIAN 92*; Gaussian, Inc.: Pittsburgh, PA, 1992.

(39) Dick, B. G. J.; Overhauser, A. W. *Phys. Rev.* **1958**, *112*, 90.

(40) Gale, J. D. *J. Chem. Soc., Faraday Trans.* **1997**, *93*, 629.

(41) Sierka, M.; Sauer, J. *Faraday Discuss.* **1997**, *106*, 41.

(42) Sayle, D. C.; Perrin, M. A.; Nortier, P.; Catlow, C. R. A. *J. Chem. Soc., Chem. Commun.* **1995**, 945.

(43) van Koningsveld, H.; Jansen, J. C.; Bekkum, H. v. *Zeolites* **1990**, *10*, 235.

(44) Sayle, D. C.; Catlow, C. R. A.; Gale, J. D.; Perrin, M. A.; Nortier, P. *J. Phys. Chem.* **1997**, *101*, 3331.

(45) Hu, S.; Reimer, J. A.; Bell, A. T. *J. Phys. Chem.* **1997**, *101*, 1869.

(46) Liu, D. J.; Robota, H. J. *J. Appl. Catal. B* **1994**, *4*, 155.

(47) Liu, D.-J.; Robota, H. J. In *Reduction of Nitrogen Oxide Emissions*; ACS Symposium Series, Vol. 587 Ozkan, U.S., Agarwal, S. K., Marcelin, G., Eds.; American Chemical Society: Washington, DC, 1995; p 147.

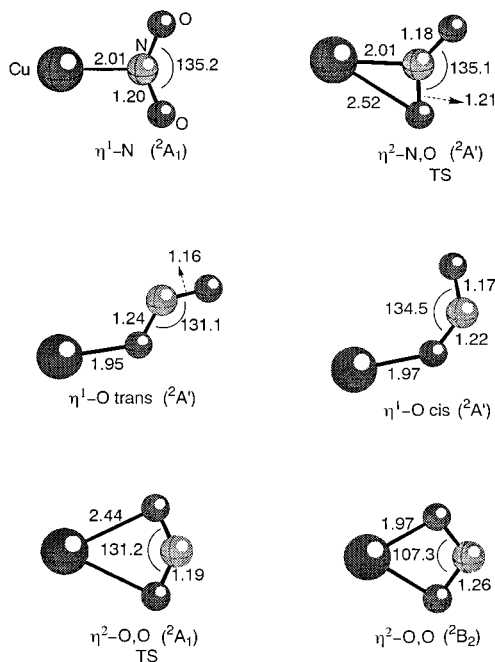
(48) Ehrhardt, C.; Ahlrichs, R. *Theor. Chim. Acta* **1985**, *68*, 231.

Table 1. Binding Energies of NO₂ (kcal/mol), Relative Energies w.r.t. the Most Stable Structure Are Given in Parentheses

| structure | [Cu·NO ₂] ⁺ this work | | ZCu·NO ₂ this work | ZCu·NO ₂ ref 14 |
|-------------------|--|---------------------------|-------------------------------------|-------------------------------|
| | CCSD(T)/B3LYP | B3LYP | B3LYP | LSD |
| η^1 -O trans | 19.6 (0.0) | 26.4 (0.0) | 30.3 (12.4) | |
| η^1 -O cis | 17.1 (2.4) | 24.3 (2.1) | 30.0 (12.7) | 12.2 (30.4) |
| η^1 -N | 7.7 (11.9) | 16.4 (10.0) | 25.1 (17.6) | 26.8 (15.8) |
| η^2 -N,O | 7.1 (12.5) | 16.4 (10.0) | 35.8 (6.9) | |
| η^2 -O,O | ² A ₁ ² B ₂ | 10.4 (9.1) 10.5 (15.9) | ² A' ² A'' | 10.7(32.0) 42.7 (0.0) |

Table 2. Results of Mulliken Population Analysis and, in Parentheses, a Population Analysis Based on Occupation Numbers (Ref 48)

| | | | charge | | spin density | | metal orbital population | | |
|--------------------|---|-----------------------------|----------------|------------------|----------------|-----------------|--------------------------|-------|------|
| | | | Cu | NO ₂ | Cu | NO ₂ | s | p | d |
| ZCu | | | 0.57 (1.02) | | | | 6.34 | 12.24 | 9.85 |
| η^2 -O,O | Cu ⁺ NO ₂ | ² A ₁ | 0.83 (0.99) | 0.18 (0.01) | 0.13 (0.05) | 0.87 (0.93) | 6.14 | 12.07 | 9.96 |
| | ZCuNO ₂ | ² A' | 0.72 (1.13) | -0.25 (-0.30) | 0.53 (0.75) | 0.38 (0.34) | 6.47 | 12.33 | 9.47 |
| | Cu ⁺ NO ₂ | ² B ₂ | 0.87 (0.82) | 0.13 (0.18) | 0.40 (0.40) | 0.60 (0.60) | 6.42 | 12.16 | 9.55 |
| η^2 -N,O | (H ₂ O) ₂ Cu ⁺ NO ₂ | ² A'' | 0.70 | -0.13 | 0.58 | 0.35 | 6.54 | 12.37 | 9.37 |
| | ZCuNO ₂ | ² A'' | 0.68 (0.91) | -0.32 (-0.35) | 0.63 (0.62) | 0.20 (0.20) | 6.57 | 12.42 | 9.33 |
| | Cu ⁺ NO ₂ | ² A' | 0.85 (0.87) | 0.15 (0.13) | 0.23 (0.35) | 0.77 (0.65) | 6.23 | 12.08 | 9.83 |
| ZCuNO ₂ | | | 0.67 (0.83) | -0.26 (-0.27) | 0.50 (0.49) | 0.36 (0.37) | 6.50 | 12.41 | 9.43 |

**Figure 2.** Predicted structures of different isomers and electronic states of the Cu⁺-NO₂ gas-phase complex.

3.2. Structure and Stability of Cu⁺NO₂ Gas-Phase Complexes. Figure 2 and Table 1 show the DFT(B3LYP) results for the different structure isomers of NO₂ interacting with Cu⁺ in the gas phase and for the stabilization energies.

(49) Morino, Y.; Tanimoto, M.; Saito, S.; Hirota, E.; Awata, R.; Tanaka, T. *J. Mol. Spectrosc.* **1983**, *98*, 331.

(50) Kaldor, U. *Chem. Phys. Lett.* **1991**, *185*, 131.

(51) Ervin, K. M.; Ho, J.; Lineberger, W. C. *J. Phys. Chem.* **1988**, *92*, 5405.

(52) Hughes, B. M.; Lifschitz, C.; Tiernan, T. O. *J. Chem. Phys.* **1973**, *59*, 3162.

Different coordination modes have been found: the bidentate η^2 -O,O and η^2 -N,O coordinations and the monodentate η^1 -O (cis and trans) and η^1 -N coordinations. Only the η^1 -O and η^1 -N modes are minima on the ground-state potential energy surface. The most stable isomer is η^1 -O (trans), with η^1 -O (cis) being only 2.1 kcal/mol higher in energy. The η^2 -O,O structure (²A₁ state) is the transition state connecting the two equivalent η^1 -O cis isomers, while the η^2 -N,O one corresponds to the η^1 -O (trans) → η^1 -N isomerization. The η^1 -N structure is a very shallow minimum with almost the same energy as the η^2 -N,O transition structure. Comparison is made with CCSD(T) single point results. The binding energies are reduced in all cases, but the relative stability of the local minima is maintained and the transition structures remain above the minima they connect.

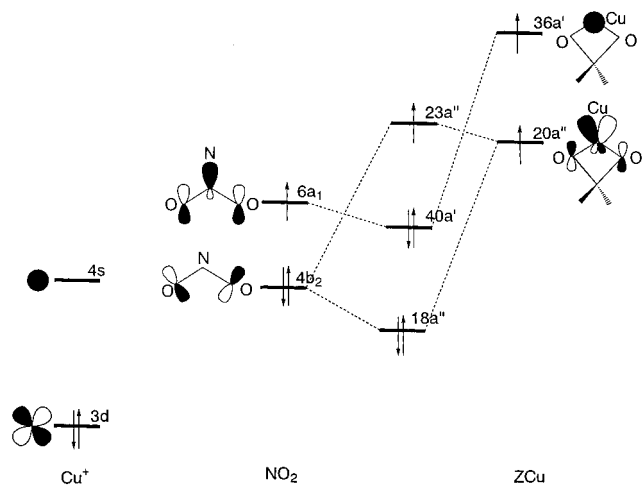
The bonding in the ground state (²A' and ²A₁) is mainly noncovalent and arises from the interaction of the ¹S(d¹⁰) state of Cu⁺ and the ²A₁ ground state of NO₂. The relative stability of the different isomers can be understood in terms of metal–ligand repulsion and electrostatic attraction. Table 2 shows for the η^2 -O,O and η^2 -N,O transition structures that—in agreement with this view—the metal orbital population stays close to d¹⁰s⁰, the unpaired electron remains localized on NO₂, and the positive excess charge remains on Cu. Consistently, the structure of the NO₂ fragment in the complex is very similar to that of the ²A₁ state of free NO₂ at the same level of calculation (Table 3).

The ²B₂ state of the η^2 -O,O coordination is a minimum on an excited potential energy surface. It can be viewed as the interaction of the ¹S(d¹⁰) state of Cu⁺ with the ²B₂ state of NO₂. The geometric structure of the NO₂ fragment in this state is very similar to that of the ²B₂ state of free NO₂ (Table 3). The left-hand side of Figure 3 shows the most relevant orbitals involved in the bond between Cu⁺ and NO₂. The orbital occupation is given for the ²A₁ ground state of NO₂. In the ²B₂ excited state the 4b₂ orbital is singly occupied, while the 6a₁ orbital is doubly occupied. This opens the possibility for an electron donation from the doubly occupied 6a₁ orbital of

Table 3. Bond Distance (Å) Bond Angle (deg), and Electron Affinity (EA, eV) for NO₂^e

| | | r(NO) | ∠ONO | EA |
|------------------------------|-----------------------------|----------------------------|----------------------------|---------------------|
| NO ₂ | ² A ₁ | 1.194 | 134.2 | |
| | obsd | (1.194) ^a | (133.9) ^a | |
| NO ₂ ⁻ | ² B ₂ | 1.254 | 101.7 | |
| | calcd | (1.270) ^b | (100.6) ^b | |
| NO ₂ ⁻ | ¹ A ₁ | 1.264 | 116.0 | 1.85 |
| | obsd | (1.25 ± 0.02) ^c | (117.5 ± 2.0) ^c | (2.28) ^d |

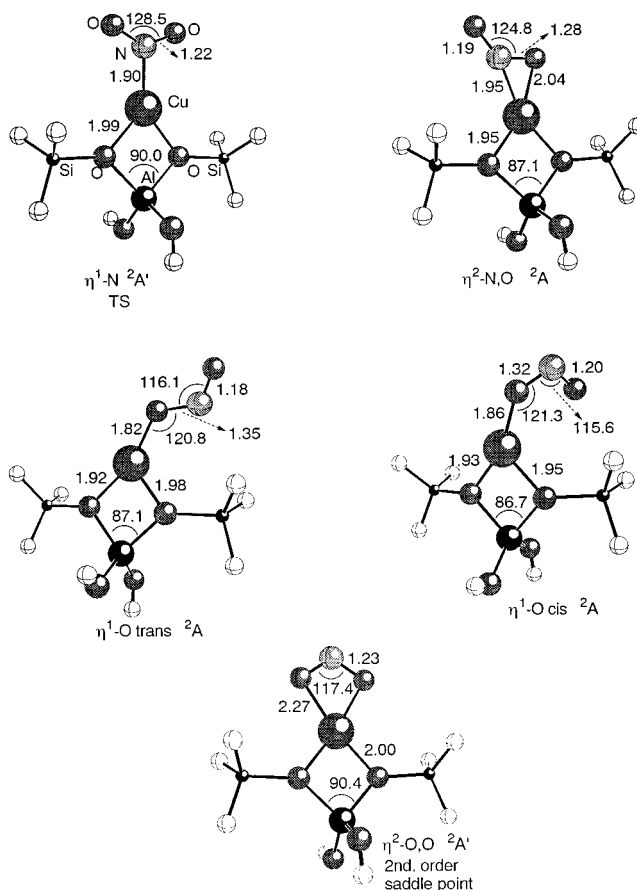
^a Reference 49. ^b CCSD method, ref 50. ^c Reference 51. ^d Reference 52. ^e Observed results or other computed results in parentheses.

**Figure 3.** Diagram of the most important orbitals involved in the formation of the bond between the Cu⁺ site and NO₂.

NO₂ to the 4s orbital of Cu and some back-donation from the 3d orbital on Cu to the singly occupied 4b₂ orbital on NO₂. In effect, there is some 3d–4s promotion (d-population about 9.5, cf. Table 2), and this state can also be described as bonding between Cu⁺ (d⁹s¹) and the ²A₁ state of NO₂.

3.3. Complexes of NO₂ with Cu⁺ in Zeolites. Figure 4 shows the structures and Table 1 the binding energies for the complexes of NO₂ with the Cu⁺ attached to the zeolite model (CuZ). When coordinated to the zeolite framework, the Cu⁺ ion interacts more strongly with NO₂. In general, the distances between Cu and NO₂ are shorter, and the binding energies are larger. Major changes on the topology of the potential energy surfaces occur. The η¹-O minimum structures (cis and trans) and the η²-O,O transition structure (²A₁ – ²A' states) show the least changes. In the former the binding becomes stronger, while the latter is further destabilized. For the η²-N,O structure the binding is significantly increased and it becomes a local minimum now, while it was a transition structure in the gas-phase complex. The η¹-N coordination is also stabilized but not as much as the η²-N,O structure. In the zeolite complex it becomes a transition structure connecting the two symmetrical η²-N,O minima, while it was a local minimum in the gas-phase complex.

A particular striking case is the ²B₂ state of the η²-O,O complex. In the gas phase it was least binding and an excited state. In the zeolite complex we also find the corresponding state, ²A''. However, now it is the most stable one and represents the global minimum. It is four times more stable than the ²B₂ state and three times more stable than the ²A₁ state of the η²-O,O complex in the gas phase. Compared with the most strongly bound gas-phase complex (η¹-O trans) the binding energy increases by 62%. To confirm this unusually strong binding effect, we have investigated the η²-O,O complex of NO₂ by the embedded cluster method. NO₂ was interacting with

**Figure 4.** Predicted structures of different isomers of the ZCuNO₂ complex (²A' and ²A states). The zeolite framework is replaced by the shell-1.5 model in the calculations.

the quantum part only, but relaxation of the positions of the nuclei of the embedded model was constrained by forces from all the atoms of the periodic zeolite framework. Figure 1a shows the structure found which is virtually identical with that of the complex involving the shell-1.5 model shown in Figure 1b. The binding energy, 42.0 kcal/mol, differs by less than 1 kcal/mol from the result in Table 1. It remains to be seen if this agreement between a free space cluster model which does not represent any specific site and a specific model (T12 site in ZSM-5) persists if additional sites of the MFI framework or different frameworks are considered. If so, there would be an interesting implication: The activation of Cu⁺ ions by zeolite frameworks for NO₂ binding is not connected with a particular site in a particular framework. If, e.g., ZSM-5 proves to be a particular efficient Cu-containing catalysts, this may have other reasons than different intrinsic properties of the different Cu⁺[(≡SiO)₂Al(O⁻)₂]⁻ sites.

Before, Yokomichi et al. found that Cu⁺ attached to a (OH)₂Al(OH)₂⁻ model of the zeolite framework binds NO more strongly than the naked Cu⁺ ion.³⁰ The increase was from 38 to 51 kcal/mol—not as large as found here for NO₂.

To analyze the dramatic effect of binding energy increase, we look at the relevant orbitals of NO₂ and ZCu shown in Figure 3. Note that the orbital occupancies shown apply to only one of the different situations discussed below. Z in ZCu stands for the shell-1.5 zeolite model. In the ground state of ZCu the 20a'' orbital is the HOMO of Cu⁺ and doubly occupied. This orbital is mainly the d_{xy} orbital of Cu⁺ mixed with the 4p_y orbital to polarize away from Z⁻ to reduce repulsion. Thus, the side opposite to the zeolite surface is a high electron density region,

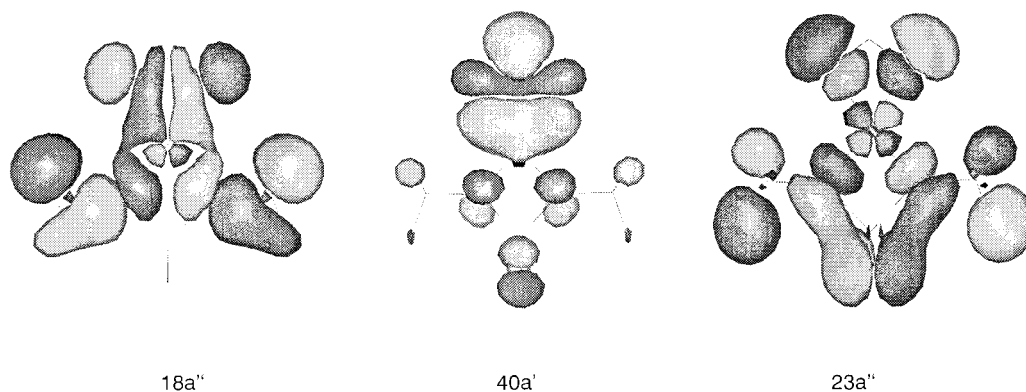


Figure 5. Representation of the 18a'', 40a', and 23a'' orbitals of the η^2 -O,O isomer of ZCuNO₂ in its electronic ground state.

and there is an increased repulsion for the NO₂ ligand approaching the Cu⁺ ion on the zeolite wall. The repulsion with all ligands can be reduced by 3d–4s promotion. In the ZCu model this promotion becomes much easier (1.9 eV) than in the naked Cu⁺ ion (2.6 eV). We have already seen that the interaction of the naked Cu⁺ ion in its d¹⁰ ground state with NO₂ in its excited ²B₂ state results in a 3d–4s promotion. Since this promotion is also facilitated by an increased electrostatic attraction between the Cu⁺ ion and the zeolite wall, there is a mutual enhancement. Due to the reduced repulsion between Cu⁺ and Z⁻ in the ²A'' state of ZCuNO₂, the Cu⁺⋯O(zeolite) distances shrink by 0.05 Å on NO₂ bonding, both for the embedded T3 model (Figure 1a) and the nonembedded shell-1.5 model (Figure 1b). This leads to a gain in the electrostatic energy between Cu⁺ and Z⁻.

We can also start our consideration from the "opposite" situation, NO₂ in its ²A₁ ground state and a fully promoted Cu⁺ state (d⁹s¹). Figure 3 shows the orbital occupancies for this situation. The 36 a' orbital of the ZCu system which was the LUMO in the d¹⁰ ground state is now singly occupied. This orbital is mainly the 4s orbital of Cu⁺. It interacts with the 6a₁ orbital of NO₂ which is singly occupied in the ²A₁ ground state to give a doubly occupied a' orbital (40a', Figure 5). The singly occupied 20a'' orbital (d_{xy}) on Cu⁺ interacts with the doubly occupied 4b₂ orbital of NO₂ to yield the singly occupied 23a'' orbital above and the doubly occupied 18a'' orbital below the 40 a' orbital (Figure 5). Since this three-electron interaction is connected with some donation from the 4b₂ orbital of NO₂ to the 3d orbital on Cu (20a'') and some back-donation from the 4s orbital of Cu⁺ to the 6a₁ orbital of NO₂, the NO₂ gets partially excited and the d⁹s¹ state gets less s and more d character. This view is supported by the observation that the structure of the CuNO₂ fragment in the ²A'' state of the ZCuNO₂ complex is very similar to that of the ²B₂ state of the η^2 -O,O Cu⁺NO₂ gas-phase complex (see Figures 1b and 2).

The picture of the bonding is further confirmed by the population analysis given in Table 2. The d occupation on Cu in ZCuNO₂ is 9.33—a decrease by 0.52 electrons compared to ZCu. In the gas-phase complex, in which 3d–4s promotion is not enhanced by the Cu⁺–zeolite interaction, the 3d population is closer to the d¹⁰ state, 9.55. Moreover, the Mulliken spin density indicates that the unpaired electron is mainly located on the metal ion since the most important contribution to the singly occupied orbital 23a'' comes from the Cu d_{xy} orbital (20a'') of ZCu.

The ²A' electronic state of the η^2 -O,O ZCuNO₂ complex lies 32 kcal/mol above the ²A'' ground state. In this case the 40a' orbital is the open shell orbital and the 23a'' orbital that is antibonding between ZCu and NO₂ is doubly occupied (Figure 3). Since the Cu contribution to the former is mainly 4s and to

the latter mainly 3d (Figure 3), the 3d population in the ²A' state is larger than in ²A'', while the 4s population is smaller (Table 2). Figure 3 also shows that the (18a'')²(40a')¹(23a'')² occupation corresponds to the orbital occupation in the ²A₁ ground state of NO₂. Hence, in the ²A' state of η^2 -O,O ZCuNO₂ the structure of the CuNO₂ fragment is closer to the ²A₁ state of gas-phase Cu⁺NO₂ than to the ²B₂ state (Figure 2 and Table 3). Frequency calculations on the ²A' state show that this stationary point is a second-order saddle point. The movement associated with one of the imaginary frequencies leads to the η^1 -O structure (cis), while the other one corresponds to the NO₂ rotation. Since this structure has a very high energy and rotation of NO₂ is not expected to decrease the energy significantly, we have not studied this electronic state further.

For the η^2 -N,O coordination the binding energy of the ZCuNO₂ complex is twice as large as in the gas phase. The reason is that for this coordination mode the 6a₁ orbital of NO₂ has the proper local symmetry to interact with the HOMO of ZCu (20a''). The resulting three-electron interaction becomes a more stabilizing two-electron interaction when an electron is promoted from 3d (20a'') to 4s (36a'). This 3d–4s promotion on the Cu atom is much larger for ZCu than for Cu for the reasons discussed above. Note that due to reduced repulsion on 3d–4s promotion the Cu⁺⋯O distance shrinks from 1.99 Å in ZCu (Figure 1b) to 1.95 Å in the ZCuNO₂ complex (Figure 4). The orbital occupation data of Table 2 support this view. The d population on Cu decreases from 9.85 and 9.83 in ZCu and η^2 -N,O Cu⁺NO₂, respectively, to 9.43 in η^2 -N,O ZCuNO₂. The population analysis (Table 2) shows that in Cu⁺NO₂ the spin density is mainly located in the NO₂ fragment, while in ZCuNO₂ half of the spin is on Cu.

From the above considerations follows that the effect of a largely increased bond strength with Cu⁺ in a zeolite is coupled to the presence of a singly occupied orbital available for bonding in the energy region where the Cu orbitals are found. When binding water instead of NO₂ to the CuZ complex, the binding energy calculated for the shell-1.5 model (27 kcal/mol—a similar value has been reported before¹⁵) decreases compared to the Cu⁺OH₂ gas-phase complex energy (43.8 kcal/mol).

To understand if the effect found is specific for zeolites as ligands of Cu⁺ or may be also present with other oxygen ligands we have made additional calculations on the Cu⁺(H₂O)₂NO₂ system (η^2 -O,O coordination, ²B₂ state). Figure 6 shows the optimized structure. The orbital interactions are the same as in the ²A'' state of ZCuNO₂, and the structure of the CuNO₂ fragment is almost identical (cf. Figures 1 and 6). However, the binding energy computed with respect to the linear equilibrium structure of Cu⁺(H₂O)₂ is 4.7 kcal/mol only. If it is calculated with the bent structure of Cu⁺(H₂O)₂ as reference,

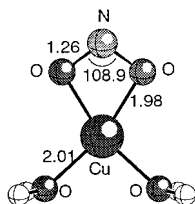


Figure 6. Predicted structure of the $(\text{H}_2\text{O})_2\text{Cu}^+\text{NO}_2$ complex.

i.e., with all structure parameters the same as in the $(\text{H}_2\text{O})_2\text{-Cu}^+\text{NO}_2$ complex, a value of 23.5 kcal/mol is obtained—larger than for the naked Cu^+ ion but still far from that obtained for Cu^+ in zeolites (42.7 kcal/mol). Promotion from d^{10} to d^9s^1 is found as costly (2.6 eV) as for the free Cu^+ ion.

We conclude that half of the activating effect that the zeolite framework has on Cu^+ ions is that it holds the oxygen ligands in the proper position. About the other half is due to the stronger bonding between the negatively charged zeolite surface and the positively charged Cu^+ ion in the presence of NO_2 . When $3d-4s$ promotion reduces repulsion, the zeolite-Cu distance can shrink and there is a gain in electrostatic energy. In contrast, the Cu^+-OH_2 distance is larger in the $(\text{H}_2\text{O})_2\text{Cu}^+\text{NO}_2$ complex (2.02 Å) than in the linear $\text{Cu}^+(\text{H}_2\text{O})_2$ system (1.98 Å). The Cu^+-OH_2 distance optimized for the bent structure of the $\text{Cu}^+(\text{H}_2\text{O})_2$ system (O-Cu-O angle fixed to its optimum value in the $(\text{H}_2\text{O})_2\text{Cu}^+\text{NO}_2$ complex) is 2.05 Å. This indicates that with respect to the bent structure as reference there is some reduction of repulsion, but the gain in electrostatic energy (ion-dipole) is not as large as in the ZCuNO_2 -system (ion-pair interaction).

This has implications for selecting models of the Cu^+ site in zeolites. Although the local structure of the active site of the zeolite and the bonding mechanism can be reasonably well represented by the most simple Cu^+ -water models,^{25,26,31,32} the unusually strong binding energy of NO_2 (this work) and NO (ref 30) changes significantly from such simple models to the more realistic ones studied in this and previous work (e.g. refs 14,15,28–30).

4. Conclusion

The coordination of Cu^+ to the zeolite framework results in a substantially stronger bonding of NO_2 and in different preferred coordination modes compared to the gas-phase complex between Cu^+ and NO_2 . This effect is due to $d^{10}-d^9s^1$ promotion which reduces repulsion with all ligands of Cu^+ . As a result orbital interactions between Cu^+ and NO_2 become more favorable and electrostatic interactions between Cu^+ and the negatively charged zeolite wall more attractive. Hence we observe a cooperative (three-body) effect in the system zeolite⁻ Cu^+NO_2 which strengthens both the NO_2-Cu^+ and the Cu^+ -zeolite⁻ interactions.

Acknowledgment. Most calculations for this study have been made when L.R. was at Humboldt-University. Financial support for his stay from the Spanish Minister of Education and Science is gratefully acknowledged. This work has also been supported by the “Fonds der Chemischen Industrie” and by the “Deutsche Forschungsgemeinschaft”.

JA973196K